



GP/2171

PATENT  
P5445  
0045.0004

#2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Examiner: Not Yet Assigned  
M.A. Carlson et al. )  
Serial No.: 09/927,985 )  
Filed: August 10, 2001 ) Art Unit: 2171  
For: METHOD, SYSTEM, AND )  
PROGRAM FOR MANAGING )  
MULTIPLE RESOURCES IN A )  
SYSTEM )

Assistant Commissioner of Patents  
Washington, D.C. 20231

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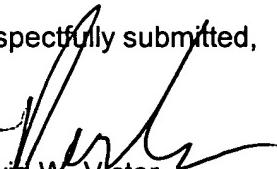
TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Dear Sirs:

In accordance with 37 CFR §§ 1.56, 1.97, and 1.98, enclosed please find Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application. Applicants submit that no fee is needed nor should be charged with the submittal of this Information Disclosure Statement (IDS) because this IDS is being submitted under 1.97(b) in that the IDS is being filed before the mailing date of a first Office Action on the merits or within three months of the filing date of a national application other than a continued prosecution application under 1.53(d). Applicants submit that a first Office Action has not been received in the above referenced case.

Nonetheless, should any fee be deemed necessary, please charge any insufficiency or credit any overpayment to Deposit Account No. 50-0585. A duplicate of this letter is enclosed for fee processing.

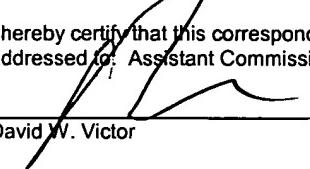
Respectfully submitted,

  
David W. Victor  
Reg. No. 39,867

Dated: October 7, 2002

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on October 7, 2002.

  
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David W. Victor

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(Date)

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**OIPA INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**

OCT 1 2003 7 (Use several sheets if necessary)

Docket Number  
P5445

**Application Number**  
09/927 985

**Applicant**  
M.A. Carlson et al.

**Filing Date**  
August 10, 2001

**Group Art Unit  
2171**

## **U.S. PATENT DOCUMENTS**

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## **FOREIGN PATENT DOCUMENTS**

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	Preliminary Amendment submitted in U.S. Patent Application No. 09/928,128, filed on August 10, 2001.
	U.S. Patent Application Serial No. 09/928,128, filed on August 10, 2001, entitled, "Method, System, and Program for Generating and Using Configuration Policies", invented by M.A. Carlson and R.E. de Silva.
	Sun Microsystems, Inc., "Federated Management Architecture (FMA) Specification", Version 1.0, Rev. 0.4, January 21, 2000, pp. 1-184.
	Wilson, S., for Brocade Communications Systems, Inc., "Fibre Channel Storage Area Network Discovery", Rev. 3.5, September 10, 1999, pp. 1-20.
	Veritas Software Corporation, "Storage Virtualization", April 2001, pp. 1-19.
	Sun Microsystems, Inc., "Sun StorEdge Component Manager 2.1 User's Guide", Rev. A, July 2000, pp. 1-148

## **EXAMINER**

**DATE CONSIDERED**

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.